SMCR

Establish a culture of individual accountability across your organisation with Corporater out-of-the-box SMCR solution. Set a new standard of personal conduct, gain control over operational risk, and empower your senior managers to become more accountable for their actions.

www.corporater.com/smcr
The Senior Managers and Certification Regime (SMCR) is a part of UK financial regulation that currently applies to banks, PRA investment firms, and specific insurers. From December 9, 2019, however, the SMCR regime will apply to all FCA (Financial Conduct Authority) solo-regulated financial services firms, and will have a significant impact on the governance arrangements within such firms. Is your organisation ready?

Corporater SMCR Solution

Corporater SMCR solution is a digital tool that empowers organisations to facilitate, manage, and demonstrate their compliance with the Senior Managers and Certification Regime. It is a ready-to-use software that enables firms to enhance integrity, drive cultural change, and establish responsibility for every department, business activity, and management function related to SMCR requirements.

Corporater is trusted by some of the biggest names in financial services and investment management. Our expertise and proven implementation methodology provides our customers with assurance to meet all current and upcoming SMCR regulatory obligations.

Built as a flexible workflow engine, Corporater SMCR solution can be used straight out-of-the-box or bespoke to particular customer needs. It provides a complete overview of SMCR requirements in a single view, and it can be further extended to other regulations and broader corporate performance management requirements.

SOLUTION FEATURES AT A GLANCE

SMCR Overview
Have a complete overview of SMCR requirements applicable to your organisation.

Flexible Workflow Engine
Implement Corporater SMCR solution straight out-of-the-box or bespoke to your particular needs.

Risk Assessment Functionality
Assess risks for each prescribed responsibility to plan, monitor and execute actions to mitigate the impact of non-compliance to the regime.

Dynamically Generated Reports
Automate and dynamically generate individual Statements of Responsibility (SoR), organisational Management Responsibilities Maps (MRM), and other reports to demonstrate SMCR compliance to key stakeholders and the regulatory body (FCA).

Smart Configurable Dashboards
Create a centralised register of your staff’s roles and responsibilities. Display key management information based on organisational level. Assign functions, tasks, and actions to groups or individuals.